This material has been published in *The Species-Area Relationship: Theory and Application* edited by Thomas J Matthews, Kostas A Triantis, Robert J Whittaker. This version is free to view and download for personal use only. Not for re-distribution, re-sale or use in derivative works. © Cambridge University Press 2020. <u>https://www.cambridge.org/gb/academic/subjects/life-sciences/ecology-and-conservation/speciesarea-relationship-theory-and-application?format=PB</u>

- 1 17. Using relict species-area relationships to estimate the
- 2 conservation value of reservoir islands to improve environmental
- <sup>3</sup> impact assessments of dams
- 4
- 5 Authors
- ${\small 6} {\small Isabel L. Jones^{a^*}, Anderson Saldanha Bueno^b, Maíra Benchimol^c, Ana Filipa Palmeirim^d, Danielle}$
- 7 Storck-Tonon<sup>e</sup>, Carlos A. Peres<sup>f,g</sup>
- 8
- 9 <sup>a</sup>Faculty of Natural Sciences, University of Stirling, Stirling, FK9 4LA, UK
- <sup>10</sup> <sup>b</sup>Instituto Federal de Educação, Ciência e Tecnologia Farroupilha, Júlio de Castilhos, CEP 98130-000,
- 11 Brazil
- 12 °Universidade Estadual de Santa Cruz, Ilhéus, CEP 45662-900, Brazil
- <sup>13</sup> <sup>d</sup>Southern University of Science and Technology, Guangdong Sheng, 518055, China
- 14 °Programa de Pós-Graduação em Ambiente e Sistemas de Produção Agrícola, Universidade do Estado
- 15 de Mato Grosso, Tangará da Serra, CEP 78300-000, Brazil
- 16 <sup>f</sup>School of Environmental Sciences, University of East Anglia, Norwich, NR4 7TJ, UK
- 17 <sup>g</sup>Departamento de Sistemática e Ecologia, Universidade Federal da Paraíba, João Pessoa, CEP 58051-
- 18 900, Brazil
- 19 \*Corresponding author. Email <u>i.l.jones@stir.ac.uk</u>
- 20

# 21 17.1 Chapter overview

- 22 Large dams are emerging drivers of landscape-scale habitat fragmentation. When dams are
- 23 constructed, particularly in low-lying and moderately undulating regions, they can cause extensive
- 24 flooding and the consequential transformation of hilltops into islands. However, Environmental

25 Impact Assessments (EIAs; the process to assess and account for impacts of development on the 26 environment) do not explicitly consider these reservoir islands in calculations of habitat impacted by 27 dam construction. The Amazon Basin is low-lying and moderately undulating, so here, dam 28 construction can lead to the formation of vast reservoirs and the creation of extensive forest island 29 archipelagos. Reservoir islands are largely comprised of relict biological communities containing species that were once part of the previously continuous habitat. Following isolation on reservoir 30 31 islands, these relict communities are subject to an ongoing extinction debt whereby species are lost 32 from islands over time. In this chapter we demonstrate how estimating the "conservation value" of 33 islands (CV: the proportion of relict continuous forest species found on islands) using relict speciesarea relationships (RSARs), can be used in a simple area-of-impact correction tool to ensure that 34 35 environmental impacts to insular habitats are explicitly accounted-for in dam EIAs.

36

37 In our study we used data from eight taxonomic groups, including medium and large-sized vertebrates, small mammals, understorey birds, orchid bees, lizards, frogs, and adult and sapling trees 38 surveyed within the Balbina Hydroelectric Reservoir (BHR) archipelago and in nearby mainland 39 continuous forest, in Brazilian Amazonia. We show that all biological groups have positive RSARs 40 41 with the CV of islands increasing with island area. But a total of ca. 72,000 ha of insular habitat had reduced CV (i.e. lower relict species richness compared to continuous forest) which equates to 60% of 42 aggregate island area. All terrestrial species are directly removed from the flooded area of reservoirs 43 44 and this is accounted for in EIAs; the same should hold for empty forests remaining above the water 45 line, such as reservoir islands lacking terrestrial species. We show that an additional 24% of the ca. 46 300,000 ha BHR water surface area should be included in area assessments for impacted terrestrial habitat. 47

48

Taking the BHR as a case study, we demonstrate that using relict species-area relationships (<sub>R</sub>SARs)
and a simple area-of-impact correction tool that incorporates the conservation value of reservoir
islands, enables more accurate assessment of the total area of terrestrial habitat impacted by dam
development. In circumstances where inundation of highly biodiverse terrestrial habitats and the

creation of reservoir islands is unavoidable, using <sub>R</sub>SARs to assess the conservation value of reservoir
 islands enables more accurate and dynamic assessment of the ecological impacts of dam construction.

# 56 17.2 Introduction

57

58 The Amazon, Mekong and Congo are our most biodiverse river basin systems globally. Dams both 59 constructed and planned for construction within these basins are emerging drivers of landscape- and 60 basin-scale habitat loss and fragmentation. This is because as reservoirs are filled, terrestrial habitat is flooded and split apart to form smaller patches of remnant habitat "islands" within a water matrix 61 (Zarfl, Lumsdon & Tockner, 2015; Winemiller et al., 2016; Moran et al., 2018). The far-reaching 62 ecological impacts of river impoundment are of particular concern in highly biodiverse habitats such 63 64 as lowland tropical forests (Gibson, Wilman & Laurance, 2017). For example, the Amazon River 65 basin is threatened by prolific dam development, with more than 280 dams in operation or planned for construction (Lees et al., 2016; Latrubesse et al., 2017). 66

67

68 Dam construction in moderately undulating lowland tropical regions results in the inundation of vast areas of tropical forest, and wholesale changes to highly connected river systems due to disruption of 69 fisheries and flood pulses (Lees et al., 2016). Alongside the direct loss of terrestrial habitats during 70 71 reservoir filling, archipelagos of forest islands are created from former hilltops. For example, the 72 construction of the Tucuruí Hydroelectric Reservoir (Brazil), the first major Amazonian dam (>15 m 73 dam height; ICOLD 2018) which began operation in 1984, led to the inundation of ca. 243,000 ha of 74 forest and the creation of some 2,200 reservoir islands. In 1987 the Uatumã River (a first-order 75 tributary of the Amazon River) was impounded by the Balbina Dam, which flooded *ca*. 300,000 ha of 76 continuous old-growth forest (Fearnside, 2016) and created > 3,500 islands (Benchimol & Peres, 77 2015a).

79 Dam-induced island archipelagos are true land-bridge islands, created simultaneously from previously continuous habitat. Reservoir islands are surrounded by a uniform and inhospitable water matrix, and 80 81 are not buffered against the impacts of habitat fragmentation (i.e. edge-effects, such as wind damage) 82 that even a low-quality terrestrial habitat matrix may provide (Ewers & Didham, 2006). Thus, species 83 losses from habitat fragments embedded within a water matrix have been shown to be of a greater magnitude than species losses from fragments within a terrestrial matrix (Watling & Donnelly, 2006). 84 85 For instance, when compared to continuous forest, forest islands in the Balbina archipelago have 86 greatly altered tree assemblage composition due to edge-related fires and wind-throws (Benchimol & 87 Peres, 2015a; Jones et al., 2019) and severely disrupted animal-plant mutualistic networks that have broken down due to landscape-scale habitat loss and fragmentation (Emer, Venticinque & Fonseca, 88 89 2013). Similar patterns of higher degrees of degradation of biological communities within a water matrix have been demonstrated elsewhere for bats (Mendenhall et al., 2014) and birds (Wolfe et al., 90 91 2015).

92

Biological communities isolated on reservoir islands are largely comprised of relict species; i.e. those 93 species remaining from the previously continuous habitat (Watson, 2002). These relict communities 94 95 undergo a process of disassembly (whereby species are lost from islands) and experience ecological 'relaxation' until new equilibrium communities are reached (Diamond, 1972; Gonzalez, 2000). Island 96 area — and hence the species-area relationship (SAR) — is a strong determinant of the rate of species 97 loss from reservoir islands through time, with larger islands able to retain more species and for longer 98 99 because conditions remain more closely aligned to those in continuous habitats. Smaller islands on the 100 other hand are at risk of rapid local extinctions because environmental conditions become much more 101 different compared to continuous habitat, alongside the fact that fewer species can be sustained in a 102 small area (Jones et al., 2016). Thus, the "conservation value" (CV) of larger islands — in terms of 103 the number of relict species retained from the once continuous forest — is higher than that of smaller 104 islands.

106 There is widespread evidence of severe and long-term ecological impacts for relict biological communities isolated on reservoir islands, particularly in highly biodiverse tropical regions (Jones et 107 al., 2016). For instance, islands within the Chiew Larn reservoir (Thailand) have experienced near 108 total extinction of small mammals within 26 years of insularization, with 50% of species becoming 109 110 locally extinct in <14 years, precipitated by the appearance of an invasive species (Gibson *et al.*, 2013). In Lago Guri (Venezuela) local extinctions of top predators on islands caused trophic cascades, 111 112 severely impacting tree regeneration due to unchecked hyper-herbivory (Terborgh et al., 2001, 2006); 113 while in the Brazilian Amazon, wholesale avifaunal erosion was induced from hundreds of local 114 extinctions on islands within the Tucuruí Hydroelectric Reservoir in 22-23 years of isolation history (Bueno et al., 2018). Thus, the local extinction of species from reservoir islands is an additional 115 ecological impact associated with dam-induced habitat fragmentation, which is not yet explicitly 116 included in the environmental impact assessments (EIAs) as a precondition of dam licensing (Gibson, 117 118 Wilman & Laurance, 2017; Ritter et al., 2017).

119

The World Commission on Dams (WCD, 2000) outlined a comprehensive framework for assessing 120 the social and environmental impacts of dams, including recommendations to assess ecological 121 impacts at the basin-scale. Yet there is no binding signatory agreement for dam contractors, 122 developers, financers or governments to forecast and mitigate dam-induced environmental impacts. 123 Moreover, the lack of any systematic and long-term monitoring of the efficacy of environmental 124 impact mitigation measures implemented, precludes the advancement of policies aimed towards 125 126 increasing the ecological sustainability of dams (Jones & Bull [accepted]; Moran et al. 2018). In 127 Brazil, a priori EIA has been required since 1986 to license any new major infrastructure project (Ritter et al., 2017). However, current EIAs are inadequate in terms of reporting the detrimental 128 129 effects of hydroelectric dams, on both natural and societal environments, including severe underestimates of forest and biodiversity loss, greenhouse gas emissions, and displacement of 130 indigenous communities (Ritter et al., 2017; Fearnside, 2016; Timpe & Kaplan, 2017). 131

133 In cases where environmental impact mitigation measures are proposed following EIAs of dams, they 134 may include 'offsetting' the area of land flooded (i.e. the reservoir water surface area) through for 135 example, strictly protecting an equivalent or greater area of comparable mainland habitat (Bull *et al.*, 136 2013). For instance, the Balbina Hydroelectric Reservoir (BHR; Brazilian Amazon) triggered the 137 creation of the ca. 940,000 ha Uatumã Biological Reserve, which covers a portion of the reservoir and adjoining mainland continuous forest habitat. However, the majority of Amazonian dams do not have 138 139 an appropriate offset associated with them, and the long-term ecological impacts of tropical dam 140 construction remain poorly accounted-for (Sonter et al., 2018; Latrubesse et al., 2017).

141

Tropical forest habitats are of global importance for biodiversity — due to the enormous number of 142 species present — and ecosystem service provision including significant uptake and storage of 143 atmospheric CO<sub>2</sub>(Pan *et al.*, 2011). Yet these forests are under increasing threat from hydropower 144 145 expansion, causing forest loss and fragmentation through reservoir creation (Latrubesse et al., 2017). Therefore, understanding the fate of terrestrial taxa isolated on tropical reservoir islands is an 146 important component of the long-term environmental impact of tropical dams (Ritter et al., 2017). 147 148 Despite the clear evidence of local species extinctions on reservoir islands, dam proponents have 149 suggested that reservoir islands can act as an effective means for biodiversity conservation as part of environmental impact mitigation strategies (Trussart et al., 2002). Moreover, if the area of impacted 150 terrestrial habitat is simply taken as the area of habitat flooded (i.e. the reservoir water surface area) 151 152 this may severely underestimate the long-term environmental impact of dams due to species on 153 reservoir islands being subject to local extinctions and a lasting extinction debt (Jones et al., 2016). 154

----

Following the species-area relationship (SAR) islands sustain different numbers of relict species due to their different areas. Thus, SARs of relict species on islands (i.e. the proportion of remnant species from formally continuous habitat; <sub>R</sub>SARs) present a powerful and accessible means for assessing the ecological impact of insularization on terrestrial species. Depending on landscape topography and reservoir levels, and hence whether many small or few large islands are created from the same amount of flooding, the outcomes for relict species retention on islands may be contrasting, and require 161 different impact mitigation strategies (Ewers & Didham 2006; Jones & Bull [accepted]). Applying relict species-area relationships (RSARs) to quantify relict species retention on reservoir islands 162 163 compared to continuous habitat therefore indicates the 'conservation value' of islands. By including 164 the area of reservoir islands that has reduced conservation value (i.e. the area of insular habitat that 165 has been impacted by river impoundment) into the calculations of impacted habitat, EIAs can be made more accurate (Fig. 17.1). Additionally, SARs comprise one of the fundamental patterns in 166 167 biogeography and macroecology (MacArthur & Wilson, 1967; Lomolino, 2000) making them highly 168 applicable in conservation measures, including the design of protected areas and estimation of local 169 biological diversity (Matthews et al., 2016).

170

In this study, we use the Balbina Hydroelectric Reservoir (BHR) as a case study to (1) demonstrate 171 how relict species-area relationships (RSARs) can be used to assess the conservation value of islands; 172 173 (2) present a novel area-of-impact correction tool, which incorporates the conservation value of 174 islands to estimate the minimum additional area of reservoir island habitat that must be considered in EIAs to account for impacted insular habitat; and (3) based on combined data for eight vertebrate, 175 invertebrate and plant taxonomic groups (as a proxy for the whole biological community), determine 176 177 which individual groups can act as an indicator for the whole-community  $_{R}SAR$ , to assist with applying our conservation value and area-of-impact correction tool to other dam-induced 178 179 archipelagos.

180

## 181 17.3 Methods

#### 182 17.3.1 Study area

183 We used the Balbina Hydroelectric Reservoir (BHR) archipelago, central Brazilian Amazonia (1°01 –

184  $1^{\circ}55 \text{ S}; 60^{\circ}290 - 59^{\circ}28 \text{ W})$  as our study system. The *ca*. 300,000 ha BHR was formed following the

impoundment of the Uatumã River in 1987 (Fearnside, 2016). As the BHR filled, continuous old-

186 growth lowland tropical forest was inundated, transforming the moderately undulating landscape into

187 an archipelago of 3,546 islands, ranging in size from 0.3 to 4,878 ha (mean  $\pm$  SD = 33.4  $\pm$  156.3 ha).

Island area, isolation, and environmental disturbance at island edges shape the structure and
composition of these insular forests (Benchimol & Peres, 2015a; Jones *et al.*, 2017, 2019).

190

191 Within the BHR, our main study system comprised of a network of 72 focal islands (1.2 - 1.815 ha)

192 mean  $\pm$  SD = 132.5  $\pm$  318 ha) and three to four mainland continuous forest sites positioned across a

193 comparable elevation gradient (Fig. 17.2). Focal islands were selected based on cloudless

194 georeferenced Landsat ETM+ scenes from 2009 (230/061 and 231/061), were normally 1 km apart to

195 ensure spatial independence and were located at varying distances from the mainland. Using ArcGIS

196 (ESRI, 2012) we calculated island areas for all 3,546 islands within the BHR using Rapid-Eye high-

197 resolution (5 m pixel) imagery, covering 698,000 ha of the BHR landscape (Benchimol & Peres,

198 2015b).

199 17.3.2. Data collection

Using original published datasets, we compiled presence/absence data for eight biological groups
across focal islands and mainland continuous forest sites within the BHR system, surveyed within a 5year period (2011-2016; Table 17.1). Brief descriptions of the datasets follow, and the accompanying
references should be consulted for full details regarding species surveys.

204

205 *Medium and large vertebrates* — Medium and large-sized diurnal and nocturnal vertebrate species
 206 (>100 g and amenable to line transect censuses, indirect sign surveys, armadillo surveys and camera

trapping) were surveyed between June 2011 and December 2012 (Benchimol & Peres, 2015b).

208 Primate, carnivore, xenarthran, ungulate, rodent, large bird, and tortoise species were recorded (Table

209 17.1).

210

211 Small mammals — Surveys were conducted using transects with live traps set at ground, understorey

and sub-canopy heights, and pitfall units connected by a drift fence. A total of 65,520 trap-nights

across 79 transects were completed from April to November in 2014 and 2015 (Table 17.1; Palmeirim

et al. 2018).

215	
216	Understorey birds — Understorey birds were surveyed using mist nets deployed over 21,888 net-
217	hours between July and December in 2015 and 2016 (Table 17.1; Bueno & Peres 2019).
218	
219	Orchid bees — Orchid (Euglossine) bees were surveyed using scent trap-arrays baited with cineole,
220	methyl salicylate, methyl cinnamate and vanillin in September 2012 and April 2013, with trap-arrays
221	exposed at each sampling site for three consecutive days (Table 17.1; Storck-Tonon & Peres 2017).
222	
223	Lizards — Terrestrial diurnal lizard assemblages were sampled using pitfall traps connected by a drift
224	fence (Table 17.1; Palmeirim et al. 2017). Sampling was undertaken between April and November in
225	2014 and 2015, totalling 5,447 trap-days across 71 trapping plots that were each sampled for 16
226	consecutive days.
227	
228	Frogs — Frog calls were recorded in riparian and non-riparian habitats using autonomous recording
229	units between July and December 2015 (Table 17.1; Bueno et al. [in review.]). Frog calls were
230	identified to species within a subset of 9,362 1-minute recordings totalling 156 hours.
231	
232	Adult and sapling trees — Trees and arborescent palms $\geq 10$ cm diameter at breast height (DBH;
233	hereafter referred to as adult trees) were surveyed in 2012 (Table 17.1; Benchimol & Peres 2015a).
234	Saplings of trees and arborescent palms that had the potential to reach $\geq$ 10 cm DBH (hereafter,
235	sapling trees) were surveyed in the same sites in 2014 (Table 17.1; Jones et al. 2019).
236	
237	17.3.3 Data analysis
238	Using <sub>R</sub> SARs to predict the conservation value of islands
239	We compiled an 'island x species' and a 'continuous forest x species' presence/absence matrix for
240	each biological group, and for all groups combined as a proxy for the 'whole community'. We pooled

species from all continuous forest sites to generate a representative 'reference' continuous forest

242	community. We took this conservative approach to avoid possible undersampling of highly biodiverse
243	habitats and to avoid bias towards very low island CVs: by using the maximum surveyed area of
244	continuous forest available as a 'reference' community, there was the best chance of detecting shared
245	species between islands and continuous forest. We excluded species from our analyses that were
246	present on islands but not in continuous forest because the focus of our study was the capacity of
247	islands to retain relict species from formerly continuous habitats, rather than their ability to support
248	newly-immigrated disturbance-adapted species (Ewers & Didham, 2006). To estimate the
249	'conservation value' (CV) of each island, we calculated the proportion of continuous forest species
250	present on the island (i.e. relict species). Accordingly, an island harbouring all species found in
251	continuous forest sites would have a maximum CV of one, whereas an island harbouring none of
252	those species would have the minimum CV of zero.
253	
254	For each biological group and the whole community, we generated species-area curves for relict
255	species ( $_{R}SARs$ ) for focal islands by modelling CV with $log_{10}$ island area (ISLAND <sub>AREA</sub> ; ha) using a
256	generalised linear model (GLM) with a quasibinomial error structure, and used these GLMs to predict
257	the CV of unsurveyed islands across the entire BHR archipelago.
258	
259	Estimating the area of islands with reduced conservation value
260	We estimated the area of each of the 3,546 BHR islands with reduced CV (i.e. the area of island
261	habitat impacted by insularization; IMPACTED <sub>ISLAND</sub> ; ha) using the following equation:
262	
263	$IMPACTED_{ISLAND} = ISLAND_{AREA} - (ISLAND_{AREA} * CV)$
264	
265	For each biological group and the whole community, we summed $IMPACTED_{ISLAND}$ for all 3,546 BHR
266	islands to give IMPACTED <sub>TOTAL</sub> . Taking the reservoir area of 300,000 ha (Fearnside, 2016), we then
267	used the IMPACTED <sub>TOTAL</sub> estimates to ascertain the minimum percentage of additional reservoir water
268	surface area — on top of reservoir water surface area alone — that should be included in EIAs to

account for impacted island habitat. All analyses were performed using R (version 3.5.1; R Core

270 Team 2018).

271

#### 272 Assessing which biological groups can act as a proxy for the whole community

- 273 We evaluated each biological group considering (1) our expert opinion, (2) survey feasibility, (3)
- alignment of individual biological group  $_{R}$ SARs with that of the proxy 'whole community', and (4)
- the percentage additional reservoir water surface area required to account for reduced biodiversity CV
- 276 on islands, to assess which groups can act as an indicator for relict species retention patterns, in order
- to aid the application of our method to other landscapes affected by dam-induced habitat
- 278 fragmentation (Gardner *et al.*, 2008).
- 279

### 280 17.4 Results

281

### 282 Using <sub>R</sub>SARs to predict the conservation value of islands

All taxonomic groups demonstrated positive <sub>R</sub>SARs, with the proportion of continuous forest species retained on islands — i.e. the conservation value (CV) of islands — increasing with island area (Fig. 17.3). Considering the proxy 'whole community', the CV of islands ranged from 0.06 (in a 1.4-ha island) to 0.66 (in a 4,878-ha island; mean CV  $\pm$  SD: 0.22  $\pm$  0.07; Table 17.2), and rapidly increased as a function of island area (slope = 0.738; Fig. 17.3). Across the entire range of island sizes within the BHR (0.3-4,878 ha; mean  $\pm$  SD = 33.4  $\pm$  156.3 ha) the maximum CV of an island was 0.96 (4,878 ha; mid- to large-sized vertebrates; Table 17.2). Conversely, five small islands (1.16-11.5 ha) had zero

290 CV for either mid- to large-bodied vertebrates or frogs.

291

#### 292 Estimating the area of islands with reduced conservation value

- 293 The aggregate area of all islands within the BHR is 118,268 ha. Of that total area of insular habitat,
- the total area exhibiting reduced CV ranged from 47,031 ha (40%) for medium and large vertebrates,
- to 94,123 ha (80%) for understorey birds (Table 17.2). When the 'whole community' was considered,

296 ca. 72,000 ha (ca. 60%) of island habitat had reduced CV. If the overall reservoir water surface area 297 (ca. 300,000 ha for the BHR; Fearnside 2016) is used in area calculations of impacted terrestrial 298 habitat, then this ca. 72,000 ha of impacted island habitat represents an additional 24% of the 299 reservoir water surface area that should be included in EIAs (Table 17.2). In other words, the total 300 impacted area of terrestrial habitat according to our method would be 372,000 ha (300,000 + 72,000)ha). However, certain biological groups — namely understorey birds, frogs, and sapling trees — were 301 302 impacted over an additional ca. 25 to 31% of the ca. 300,000 ha of reservoir area (Table 17.2). 303 304 Assessing which taxonomic groups can act as a proxy for the whole community

The magnitude of impact (i.e. <sub>R</sub>SAR slope) for all biological groups combined — the proxy 'whole 305 306 community' --- was 0.738 (Fig. 17.4) and an additional 24% of the reservoir water surface area would need to be included in EIAs to account for the reduced CV of reservoir islands at the community-307 308 level. Based on (1) our expert opinion, (2) survey feasibility, (3) alignment of individual biological group <sub>R</sub>SARs with that of the proxy 'whole community', and (4) the percentage of additional reservoir 309 water surface area required to account for reduced biodiversity CV on islands, our results suggest that 310 311 plants (both adult and sapling trees; slope = 0.598, 23.3%; and 0.796, 25.3% respectively) and understorey birds (slope = 0.853, 31.4%) can be used as appropriate indicator groups for the response 312 of the proxy 'whole community' (Fig. 17.3; Fig. 17.4; Table 17.2; Gardner et al. 2008; Watson 2002). 313 314

## 315 17.5 Discussion

We demonstrate that <sub>R</sub>SARs can be used effectively to estimate the conservation value of reservoir islands to improve the accuracy of EIAs of major hydroelectric dams using a simple area-of-impact correction tool. Across eight taxonomic groups within the Balbina Hydroelectric Reservoir (BHR) landscape, the conservation value of reservoir islands co-varied tightly with island area, with conservation value sharply declining with decreasing island area. Incorporating the conservation value of islands into assessments of impacted terrestrial habitat indicated that an additional 24% of the reservoir water surface area should be included in EIAs to account for impacted insular habitats. 323 Based on the degree to which  $_{R}$ SARs of any given biological group tracked that of the proxy 'whole

324 community', we suggest that adult and sapling trees, as well as understorey birds, can serve as

appropriate indicator groups for community-level responses to insularization across the BHR.

326

### 327 Reservoir islands have reduced conservation value

All islands in the BHR had reduced conservation value compared to mainland continuous forest, with 328 329 smaller islands exhibiting the greatest reduction in conservation value (i.e. the lowest proportion of 330 continuous forest species retained). Previous studies of biological communities isolated on reservoir 331 islands have consistently shown that those isolated on small islands (<10 ha) are the most vulnerable to local extinctions (Benchimol & Peres, 2015b; Terborgh et al., 2001; Watson, 2002). However, 332 disturbance to forest structure, including wind damage, fire and desiccation at fragment edges, can 333 penetrate into remnant forests to varying extents and have been shown to affect forest biomass >1.5 334 335 km from fragment edges (Chaplin-Kramer et al., 2015). Thus, species isolated on much larger islands are still likely to be impacted by insularization. Indeed, our modelling exercise suggested that even the 336 largest island in the BHR (4,878 ha) did not support a full complement of species found in mainland 337 338 continuous forest.

339

### Estimating additional island habitat area to be included in Environmental Impact Assessments 340 341 Incorporating the conservation value of islands into our area-of-impact correction tool revealed that of the total aggregate island area (118,267 ha, n=3,546), ca. 60% retained reduced CV when the entire 342 biological community was considered. Thus, in our case study, the equivalent of at least 24% of the 343 BHR reservoir water surface area would need to be added on top of the reservoir water surface area 344 345 alone, to account for the area of insular habitat with reduced conservation value. The surface area of 346 the BHR is ca. 300,000 ha (Fearnside, 2016) and therefore an additional ca. 72,000 ha (i.e. 372,000 ha in total) would need to be incorporated into assessments of terrestrial habitat impacted by dam 347 348 development.

350 Using  $_{R}SARs$  to estimate the conservation value of reservoir islands allows the impact of 351 insularization on relict continuous habitat species to be assessed and accounted-for in EIAs. BSARs avoid artificial inflation of island conservation value by focussing on relict continuous forest species 352 353 and excluding newly-immigrated disturbance-adapted species. Our <sub>R</sub>SAR and island conservation 354 value approach drives the EIA process forward beyond simply assuming that the reservoir water surface area equates to the total area of terrestrial habitat impacted (WCD, 2000; Ritter et al., 2017). 355 356 EIAs do not explicitly consider reservoir island habitat in assessments of terrestrial land impacted by 357 dam construction, neglect biodiversity losses from islands and any other impacted mainland habitat, 358 and fall short of assessing many of the other direct and indirect ecological impacts of dam construction in mega-diverse tropical regions (Lees et al., 2016; Feamside, 2016; Timpe & Kaplan, 359 2017). We show that species loss from islands induced by flooding moderately undulating terrain, 360 such as in the Amazon Basin, is a significant additional environmental impact to be considered in the 361 362 EIA process (Jones & Bull [accepted]; Ritter et al. 2017).

363

However, we caution that the BHR archipelago likely represents the best-case-scenario for remnant 364 365 insular habitat and relict species retention, due to the fact that almost half of BHR islands and mainland continuous forest to the east of the former Uatumã River bank are under strict protection 366 from the Uatumã Biological Reserve. Thus, there is minimal impact from other drivers of species loss 367 368 such as subsistence farming, logging and hunting, which many other dams may be subject to and where species loss from islands may be far greater (Peres, 2001). Related to this, we also highlight 369 370 that depending on the condition of the 'baseline' habitat from which <sub>R</sub>SARs are generated, the 371 outcomes for island conservation values may be very different and may shift over time (Maron et al., 2018). Moreover, our approach provides a snapshot of the habitat area correction needed to account 372 373 for reduced conservation value of islands ca. 30 years post-isolation. We therefore caution that the full effects of insularization on relict species is yet to be realised because our method does not include a 374 375 means of incorporating ongoing extinction debts on islands, which may continue beyond 90 years of 376 island isolation (Jones et al., 2016).

378 We focus on the role of island area in determining the degree of relict species retention, as this metric is likely the most accessible for dam developers and decision-makers during the EIA process, because 379 380 the shape of the reservoir can be predicted using GIS and terrain maps with different water level 381 scenarios. Yet other landscape metrics, such as isolation distance and the degree of habitat 382 connectivity may also play important roles is shaping <sub>R</sub>SARs on islands following insularization (Fahrig, 2013; Palmeirim et al., 2018; Storck-Tonon & Peres, 2017). When focussing on individual 383 384 taxonomic groups, a more nuanced relationship may emerge regarding the ability of habitat fragments 385 to retain species found in continuous habitats as demonstrated by the variation in <sub>R</sub>SARs among the 386 eight biological groups in our study (Laurance et al., 2011; Michalski, Nishi & Peres, 2007). 387

388 Trees and understorey birds are appropriate indicators for whole community responses to

389 insularization

390 The response of trees and understorey birds to insularization is relatively well documented (see e.g. Aurélio-Silva et al. 2016; Benchimol & Peres, 2015; Bueno et al. 2018; Bueno & Peres 2019; Jones et 391 al., 2019; Terborgh et al. 1997). We found that the <sub>R</sub>SARs for both adult and sapling trees and 392 understorey birds aligned well with the community-level <sub>R</sub>SAR. In addition, tree and bird 393 394 communities play vital roles in the maintenance of critical ecological processes and services of forest 395 ecosystems, such as carbon storage, pollination, seed dispersal and pest control (Anderson-Teixeira et al., 2016; Bregman, Sekercioglu & Tobias, 2014), are key groups in long-term monitoring 396 programmes (Laurance et al., 2011) and species identities can be double-checked a posteriori using 397 398 photographs and vegetative specimens, which reduces the potential for observer bias. Thus, we recommend that at a minimum trees or understorey birds should be surveyed across insular habitats 399 400 when attempting to assess their conservation value.

401

402 Importantly, the conservation value of islands for understorey birds was lower than for the proxy

403 'whole community'. Therefore, using a general whole-community  $_{R}SAR$  to estimate conservation

404 value leads to underestimating the impacts for individual biological groups, and over-accounting for

405	others, such as orchid bees in this study. There may also be differences in <sub>R</sub> SARs within biological
406	groups depending on functional traits; e.g. for generalist vs specialist species. For example, in another
407	study of bird communities in an Amazonian dam-induced archipelago, forest specialist birds showed a
408	more severe decline in island occupancy as island area decreased (Bueno et al., 2018), a finding
409	echoed in other groups in the BHR including lizards and small mammals (Palmeirim et al., 2018;
410	Palmeirim, Vieira & Peres, 2017). Our approach therefore avoids the confounding effect of replacing
411	habitat specialist species with generalists, by focusing on relict species from continuous mainland
412	habitats: including newly immigrated disturbance-adapted species would overestimate the
413	conservation value of forest islands.
414	
415	Conclusions
416	Using relict species-area relationships ( <sub>R</sub> SARs) and a simple area-of-impact correction tool, which
417	incorporates the conservation value of reservoir islands, enables more accurate assessment of the total
418	area of terrestrial habitat impacted by dam development. Employing our method improves the
419	accuracy of EIAs of landscape-scale habitat fragmentation caused by dam development.
420	
421	17.6 References
422	
423	Anderson-Teixeira, K.J., Wang, M.M.H., McGarvey, J.C. & LeBauer, D.S. (2016) Carbon dynamics
424	of mature and regrowth tropical forests derived from a pantropical database (TropForC-db).
425	<i>Global change biology</i> 22, 1690–1709. Available from: doi:10.1111/gcb.13226.
426	Aurélio-Silva, M., Anciães, M., Henriques, L.M.P., Benchimol, M., et al. (2016) Patterns of local
427	extinction in an Amazonian archipelagic avifauna following 25 years of insularization.
428	Biological Conservation 199, 101–109. Available from: doi:10.1016/j.biocon.2016.03.016.
429	Benchimol, M. & Peres, C.A. (2015a) Edge-mediated compositional and functional decay of tree
430	assemblages in Amazonian forest islands after 26 years of isolation. Journal of Ecology 103,

- 431 408–420. Available from: doi:10.1111/1365-2745.12371.
- 432 Benchimol, M. & Peres, C.A. (2015b) Predicting local extinctions of Amazonian vertebrates in forest
- 433 islands created by a mega dam. *Biological Conservation* 187, 61–72. Available from:
- 434 doi:10.1016/j.biocon.2015.04.005.
- 435 Bregman, T.P., Sekercioglu, C.H. & Tobias, J.A. (2014) Global patterns and predictors of bird species
- 436 responses to forest fragmentation: Implications for ecosystem function and conservation.
- 437 *Biological Conservation* 169, 372–383. Available from: doi:10.1016/j.biocon.2013.11.024.
- 438 Bueno, A.S., Dantas, S.M., Henriques, L.M.P. & Peres, C.A. (2018) Ecological traits modulate bird
- 439 species responses to forest fragmentation in an Amazonian anthropogenic archipelago. *Diversity*

440 *and Distributions* 24 (3), 387–402. Available from: doi:10.1111/ddi.12689.

- 441 Bueno, A.S. & Peres, C.A. (2019) Patch-scale biodiversity retention in fragmented landscapes:
- 442 Reconciling the habitat amount hypothesis with the island biogeography theory. *Journal of*443 *Biogeography* 46 (3), 621–632. Available from: doi:10.1111/jbi.13499.
- 444 Bull, J.W., Suttle, K.B., Gordon, A., Singh, N.J., et al. (2013) Biodiversity offsets in theory and
- 445 practice. *Oryx* 47 (03), 369–380. Available from: doi:10.1017/S003060531200172X.
- 446 Chaplin-Kramer, R., Ramler, I., Sharp, R., Haddad, N.M., et al. (2015) Degradation in carbon stocks
- 447 near tropical forest edges. *Nature communications* 6, 10158. Available from:
- 448 doi:10.1038/ncomms10158.
- 449 Diamond, J.M. (1972) Biogeographic kinetics: estimation of relaxation times for avifaunas of
- 450 southwest pacific islands. *Proceedings of the National Academy of Sciences of the United States*451 *of America* 69 (11), 3199–3203. Available from: doi:10.1073/pnas.69.11.3199.
- 452 Emer, C., Venticinque, E.M. & Fonseca, C.R. (2013) Effects of dam-induced landscape fragmentation
- 453 on amazonian ant-plant mutualistic networks. *Conservation Biology* 27 (4), 763–773. Available

454 from: doi:10.1111/cobi.12045

455 ESRI (2012) ArcGIS Desktop.

- 456 Ewers, R.M. & Didham, R.K. (2006) Confounding factors in the detection of species responses to
- 457 habitat fragmentation. *Biological reviews* 81 (1), 117–142. Available from:
- doi:10.1017/S1464793105006949.
- 459 Fahrig, L. (2013) Rethinking patch size and isolation effects: The habitat amount hypothesis. *Journal*460 *of Biogeography* 40 (9), 1649–1663. Available from: doi:10.1111/jbi.12130.
- 461 Fearnside, P.M. (2016) Environmental and Social Impacts of Hydroelectric Dams in Brazilian
- 462 Amazonia: Implications for the Aluminum Industry. *World Development* 77, 48–65. Available
  463 from: doi:10.1016/j.worlddev.2015.08.015.
- 464 Gardner, T.A., Barlow, J., Araujo, I.S., Avila-Pires, T.C., et al. (2008) The cost-effectiveness of
- biodiversity surveys in tropical forests. *Ecology Letters*. 11, 139–150.
- 466 Gibson, L., Lynam, A.J., Bradshaw, C.J.A., He, F., et al. (2013) Near-complete extinction of native
- small mammal fauna 25 years after forest fragmentation. *Science* 341 (6153), 1508–1510.
- 468 Available from: doi:10.1126/science.1240495
- 469 Gibson, L., Wilman, E.N. & Laurance, W.F. (2017) How Green is Green? Trends in Ecology and
- 470 *Evolution* 32 (12), 922–935. Available from: doi:https://doi.org/10.1016/j.tree.2017.09.007.
- 471 Gonzalez, A. (2000) Community relaxation in fragmented landscapes: The relation between species
- richness, area and age. *Ecology Letters* 3 (5), 441–448. Available from: doi:10.1046/j.1461-
- 473 0248.2000.00171.x.
- 474 ICOLD (2018) International Commission on Large Dams. Available from: http://www.icold-
- 475 cigb.org/GB/World\_register/general\_synthesis.asp
- Jones, I.L. & Bull, J.W. (accepted) Major dams and the challenge of achieving 'No Net Loss' of
  biodiversity in the tropics. *Sustainable Development*.
- 478 Jones, I.L., Bunnefeld, N., Jump, A.S., Peres, C.A., et al. (2016) Extinction debt on reservoir land-
- 479 bridge islands. *Biological Conservation* 199, 75–83. Available from:
- 480 doi:10.1016/j.biocon.2016.04.036.

- 481 Jones, I.L., Peres, C.A., Benchimol, M., Bunnefeld, L., et al. (2019) Instability of insular tree
- 482 communities in an Amazonian mega-dam is driven by impaired recruitment and altered species
  483 composition. *Journal of Applied Ecology* 56, 779–791.
- 484 Jones, I.L., Peres, C.A., Benchimol, M., Bunnefeld, L., et al. (2017) Woody lianas increase in
- dominance and maintain compositional integrity across an Amazonian dam-induced fragmented
- 486 landscape. *PLoS ONE* 12 (10), 1–19. Available from: doi:10.1371/journal.pone.0185527.
- Latrubesse, E.M., Arima, E.Y., Dunne, T., Park, E., et al. (2017) Damming the rivers of the Amazon
  basin. *Nature* 546 (7658), 363–369. Available from: doi:10.1038/nature22333.
- 489 Laurance, W.F., Camargo, J.L.C., Luizão, R.C.C., Laurance, S.G., et al. (2011) The fate of
- 490 Amazonian forest fragments: A 32-year investigation. *Biological Conservation* 144 (1), 56–67.
  491 Available from: doi:10.1016/j.biocon.2010.09.021
- Lees, A.C., Peres, C.A., Fearnside, P.M., Schneider, M., et al. (2016) Hydropower and the future of
  Amazonian biodiversity. *Biodiversity Conservation* 25, 451–466. Available from:
  doi:10.1007/s10531-016-1072-3.
- Lomolino, M. V. (2000) Ecology's most general, yet protean pattern: The species-area relationship.
- 496 *Journal of Biogeography* 27 (1), 17–26. Available from: doi:10.1046/j.1365-2699.2000.00377.x.
- 497 MacArthur, R.H. & Wilson, E.O. (1967) *The theory of island biogeography*. Princeton, Princeton
  498 University Press.
- Maron, M., Brownlie, S., Bull, J.W., Evans, M.C., et al. (2018) The many meanings of no net loss in
  environmental policy. *Nature Sustainability* 1 (1), 19–27. Available from: doi:10.1038/s41893017-0007-7.
- Matthews, T.J., Guilhaumon, F., Triantis, K.A., Borregaard, M.K., et al. (2016) On the form of
  species–area relationships in habitat islands and true islands. *Global Ecology and Biogeography*25 (7), 847–858. Available from: doi:10.1111/geb.12269.
- 505 Mendenhall, C.D., Karp, D.S., Meyer, C.F.J., Hadly, E.A., et al. (2014) Predicting biodiversity change

- and averting collapse in agricultural landscapes. *Nature* 509 (7499), 213–217. Available from:
  doi:10.1038/nature13139.
- Michalski, F., Nishi, I. & Peres, C. a. (2007) Disturbance-Mediated Drift in Tree Functional Groups in
  Amazonian Forest Fragments. *Biotropica* 39 (6), 691–701. Available from: doi:10.1111/j.17447429.2007.00318.x.
- 511 Moran, E.F., Lopez, M.C., Moore, N., Müller, N., et al. (2018) Sustainable hydropower in the 21st
- 512 century. *Proceedings of the National Academy of Sciences* 115 (47), 201809426. Available
  513 from: doi:10.1073/pnas.1809426115.
- 514 Palmeirim, A.F., Benchimol, M., Vieira, M.V. & Peres, C.A. (2018) Small mammal responses to
- 515 Amazonian forest islands are modulated by their forest dependence. *Oecologia* 187 (1), 191–
- 516 204. Available from: doi:10.1007/s00442-018-4114-6.
- 517 Palmeirim, A.F., Vieira, M.V. & Peres, C.A. (2017) Non-random lizard extinctions in land-bridge
- Amazonian forest islands after 28 years of isolation. *Biological Conservation* 214 (July), 55–65.
  Available from: doi:10.1016/j.biocon.2017.08.002.
- Pan, Y., Birdsey, R.A., Fang, J., Houghton, R., et al. (2011) A large and persistent carbon sink in the
  world's forests. *Science* 333 (6045), 988–993. Available from: doi:10.1126/science.1201609.
- 522 Peres, C.A. (2001) Synergistic Effects of Subsistence Hunting and Habitat Fragmentation on
- 523 Amazonian Forest Vertebrates. *Conservation Biology* 15 (6), 1490–1505. Available from:
- 524 doi:10.1046/j.1523-1739.2001.01089.x.
- 525 Ritter, C.D., McCrate, G., Nilsson, R.H., Feamside, P.M., et al. (2017) Environmental impact
- 526 assessment in Brazilian Amazonia: Challenges and prospects to assess biodiversity. *Biological*
- 527 *Conservation* 206, 161–168. Available from: doi:10.1016/j.biocon.2016.12.031.
- 528 Sonter, L.J., Gourevitch, J., Koh, I., Nicholson, C.C., et al. (2018) Biodiversity offsets may miss
- 529 opportunities to mitigate impacts on ecosystem services. *Frontiers in Ecology and the*
- 530 *Environment* 16(3), 143–148. Available from: doi:10.1002/fee.1781.

- 531 Storck-Tonon, D. & Peres, C.A. (2017) Forest patch isolation drives local extinctions of Amazonian
- orchid bees in a 26 years old archipelago. *Biological Conservation* 214 (July), 270–277.

533 Available from: doi:10.1016/j.biocon.2017.07.018.

534 Terborgh, J., Feeley, K., Silman, M., Nunez, P., et al. (2006) Vegetation dynamics of predator-free

<sup>535</sup> land-bridge islands. *Journal of Ecology* 94 (2), 253–263. Available from: doi:10.1111/j.1365-

536 2745.2006.01106.x.

537 Terborgh, J., Lopez, L., Nuñez, P., Rao, M., et al. (2001) Ecological meltdown in predator-free forest
538 fragments. *Science* 294 (5548), 1923–1926. Available from: doi:10.1126/science.1064397

539 Terborgh, J., Lopez, L. & Tello, J.S. (1997) Bird Communities in Transition: The Lago Guri Islands.
540 *Ecology* 78 (5), 1494–1501.

- 541 Timpe, K. & Kaplan, D. (2017) The changing hydrology of a dammed Amazon. *Science Advances* 3
  542 (11), 1–14. Available from: doi:10.1126/sciadv.1700611.
- 543 Trussart, S., Messier, D., Roquet, V. & Aki, S. (2002) Hydropower projects: A review of most

544 effective mitigation measures. *Energy Policy* 30 (14), 1251–1259. Available from:

- 545 doi:10.1016/S0301-4215(02)00087-3.
- 546 Watling, J.I. & Donnelly, M. a. (2006) Review: Fragments as Islands: a Synthesis of Faunal
- 547 Responses to Habitat Patchiness. *Conservation Biology* 20 (4), 1016–1025. Available from:
- 548 doi:10.1111/j.1523-1739.2006.00482.x.
- 549 Watson, D.M. (2002) A conceptual framework for studying species composition in fragments, islands
  550 and other patchy ecosystems. *Journal of Biogeography* 29, 823–834.
- WCD (2000) *Dams and Development: A new framework for decision-making*. Earthscan Publications,
  London, UK
- 553 Winemiller, K.O., McIntyre, P.B., Castello, L., Fluet-Chouinard, E., et al. (2016) Balancing
- hydropower and biodiversity in the Amazon, Congo, and Mekong. *Science* 351 (6269), 128–
- 555 129. Available from: doi:10.1126/science.aac7082.

- 556 Wolfe, J.D., Stouffer, P.C., Mokross, K., Powell, L.L., et al. (2015) Island vs. countryside
- 557 biogeography: An examination of how Amazonian birds respond to forest clearing and
- 558 fragmentation. *Ecosphere* 6 (12), 1–14. Available from: doi:10.1890/ES15-00322.1.
- 559 Zarfl, C., Lumsdon, A.E. & Tockner, K. (2015) A global boom in hydropower dam construction.
- 560 *Aquatic Sciences* (77), 161–170. Available from: doi:10.1007/s00027-014-0377-0.